

[^{F1}SCHEDULE 4

Regulation 5(5)

Regulation of infant formula and follow-on formula manufactured from protein hydrolysates until 22 February 2022

Textual Amendments

- F1** Sch. 4 inserted (16.9.2021) by The Infant Formula and Follow-on Formula (Wales) (Amendment) Regulations 2021 (S.I. 2021/955), reg. 1(2), Sch.

Interpretation

1.—(1) In this Schedule—

“the Act” (“*y Ddeddf*”) means the Food Safety Act 1990;

“the Directive” (“*y Gyfarwyddeb*”) means Commission Directive 2006/141/EC on infant formulae and follow-on formulae and amending Directive 1999/21/EC;

“follow-on formula” (“*fformiwla ddilynol*”) means foodstuffs intended for particular nutritional use by infants when appropriate complementary feeding is introduced and constituting the principal liquid element in a progressively diversified diet of such infants;

“food authority” (“*awdurdod bwyd*”) has the meaning that it bears by virtue of section 5(1A) of the Act;

“health care system” (“*system gofal iechyd*”) means institutions or organisations engaged, directly or indirectly, in health care for mothers, infants and pregnant women, including nurseries or child care institutions and health workers in private practice;

“infant formula” (“*fformiwla fabanod*”) means foodstuffs intended for particular nutritional use by infants during the first months of life and satisfying by themselves the nutritional requirements of such infants until the introduction of appropriate complementary feeding;

“infants” (“*babanod*”) means children under the age of 12 months;

“young children” (“*plant ifanc*”) means children aged between one and three years.

(2) Subject to sub-paragraph (3), any expression other than one defined in sub-paragraph (1) that is used both in this Schedule and in the Act has the meaning it bears in the Act.

(3) Any expression used both in this Schedule and in the Directive has the meaning that it bears in the Directive.

(4) In this Schedule, any reference to a numbered Annex is a reference to the Annex bearing that number in the Directive.

Prohibition on the marketing of infant formula or follow-on formula unless certain conditions are met

2.—(1) No person may market infant formula which contravenes or fails to comply with paragraph 4, 5, 7, 9, 10, 11, 13(1), (2) or (3), 14, 16, 18 or 19(1).

(2) No person may market follow-on formula which contravenes or fails to comply with paragraph 4, 6, 8, 9, 10, 11, 13(1), (2) or (3), 15, 17, 18 or 19(2).

Prohibition on the marketing of products other than infant formula for normal healthy infants

3. No person may market or otherwise represent a product as suitable for satisfying by itself the nutritional requirements of normal healthy infants during the first months of life until the introduction of appropriate complementary feeding unless that product is infant formula.

Substances in such quantity as to endanger the health of infants and young children

4. Infant formula and follow-on formula must not contain any substance in such quantity as to endanger the health of infants and young children.

Protein hydrolysates and other food ingredients suitable for infants from birth (infant formula)

5.—(1) Infant formula must be manufactured from—

- (a) protein hydrolysates; and
- (b) other food ingredients the suitability of which for particular nutritional use by infants from birth has been established by generally accepted scientific data and demonstrated in accordance with sub-paragraph (2).

(2) Suitability is to be demonstrated through a systematic review of the available data relating to the expected benefits and to safety considerations as well as, where necessary, appropriate studies, performed following generally accepted expert guidance on the design and conduct of such studies.

Protein hydrolysates and other food ingredients suitable for infants aged over 6 months (follow-on formula)

6. Follow-on formula must be manufactured from—

- (a) protein hydrolysates; and
- (b) other food ingredients the suitability of which for particular nutritional use by infants aged over 6 months has been established by generally accepted scientific data and demonstrated in accordance with paragraph 5(2).

Compositional criteria for infant formula

7.—(1) Subject to sub-paragraph (2), infant formula must comply with the compositional criteria set out in Annex I taking into account the specifications in Annex V.

(2) In the case of infant formula manufactured from protein hydrolysates specified in point 2.2 of Annex I with a protein content between the minimum and 0.56g/100kJ (2.25g/100 kcal)—

- (a) the suitability of the infant formula for the particular nutritional use by infants must be demonstrated through appropriate studies, performed following generally accepted expert guidance on the design and conduct of such studies; and
- (b) the infant formula must be in accordance with the appropriate specifications set out in Annex VI.

Compositional criteria for follow-on formula

8.—(1) Subject to sub-paragraph (2), follow-on formula must comply with the compositional criteria set out in Annex II taking into account the specifications set out in Annex V.

(2) In the case of follow-on formula manufactured from those protein hydrolysates specified in point 2.2 of Annex II with a protein content between the minimum and 0.56g/100kJ (2.25g/100kcal)

- (a) the suitability of the follow-on formula for satisfying the nutritional requirements of normal healthy infants in conjunction with complementary feeding must be demonstrated through appropriate studies, performed following generally accepted expert guidance on the design and conduct of such studies; and
- (b) the follow-on formula must be in accordance with the appropriate specifications set out in Annex VI.

Addition of water (infant formula and follow-on formula)

9. In order to make infant formula or follow-on formula ready for use nothing more must be required than the addition of water.

Prohibitions and limitations on the use of food ingredients (infant formula and follow-on formula)

10. The prohibitions and limitations on the use of food ingredients in infant formula and follow-on formula, set out respectively in Annexes I and II, must be observed.

Listed substances and their purity criteria (infant formula and follow-on formula)

11.—(1) Only the substances listed in Annex III may be used in the manufacture of infant formula and follow-on formula in order to satisfy the requirements of Annexes I and II respectively on—

- (a) mineral substances;
- (b) vitamins;
- (c) amino acids and other nitrogen compounds; and
- (d) other substances having a particular nutritional purpose.

(2) Substances used in the manufacture of infant formula and follow-on formula pursuant to sub-paragraph (1) must meet the relevant purity criteria.

(3) The relevant purity criteria for the purposes of sub-paragraph (2) are—

- (a) the purity criteria for substances, as provided for in [F2 assimilated] law concerning the use of substances listed in Annex III, in the manufacture of foodstuffs for purposes other than those covered by the Directive; and
- (b) in the absence of such purity criteria, generally acceptable purity criteria recommended by international bodies.

Textual Amendments

- F2 Word in [Sch. 4 para. 11\(3\)\(a\)](#) substituted (1.1.2024) by [The Retained EU Law \(Revocation and Reform\) Act 2023 \(Consequential Amendments\) \(Wales\) Regulations 2023 \(S.I. 2023/1332\)](#), regs. 1(2), 23

Notification of infant formula

12. No food business operator may place an infant formula on the market in Wales that has not yet been placed on the market in the United Kingdom unless the food business operator has given prior notice to the Welsh Ministers by forwarding to them a model of the label used for the product.

Pesticide residues (infant formula and follow-on formula)

13.—(1) Subject to sub-paragraphs (2) and (3), infant formula and follow-on formula must not contain residues of individual pesticides at levels exceeding 0.01 mg/kg.

(2) Infant formula and follow-on formula must not contain any pesticide residue of a pesticide listed in Table 1 or Table 2 of Annex VIII at a level exceeding 0.003 mg/kg.

(3) Infant formula and follow-on formula must not contain any pesticide residue of a pesticide listed in Annex IX at a level exceeding the maximum residue level specified in that Annex.

(4) The levels referred to in sub-paragraphs (1) to (3) apply to the infant formula or follow-on formula—

- (a) manufactured in a form that is ready for consumption; or
- (b) if it is not so manufactured, as reconstituted according to the manufacturers' instructions.

(5) Analytical methods for determining levels of pesticide residues for the purposes of this paragraph must be generally acceptable standardised methods.

Naming of infant formula

14. Infant formula must not be sold unless it is sold under the name “infant formula”.

Naming of follow-on formula

15. Follow-on formula must not be sold unless it is sold under the name “follow-on formula”.

Labelling of infant formula

16.—(1) Infant formula must not be sold unless the labelling bears—

- (a) a statement to the effect that the product is suitable for particular nutritional use by infants from birth when they are not breast fed;
- (b) the available energy value, expressed in kJ and kcal, and the content of proteins, carbohydrates and lipids, expressed in numerical form, per 100ml of the product ready for use;
- (c) the average quantity of each mineral substance and of each vitamin mentioned in Annex I and, where applicable, of choline, inositol and carnitine, expressed in numerical form, per 100ml of the product ready for use;
- (d) instructions for appropriate preparation, storage and disposal of the product and a warning against the health hazards of inappropriate preparation and storage; and
- (e) the words “Important Notice” or their equivalent immediately followed by—
 - (i) a statement concerning the superiority of breast feeding; and
 - (ii) a statement recommending that the product be used only on the advice of independent persons having qualifications in medicine, nutrition or pharmacy, or other professionals responsible for maternal and child care.

(2) The labelling of infant formula must—

- (a) be designed to provide the necessary information about the appropriate use of the product so as not to discourage breast feeding; and
- (b) not contain the terms “humanised”, “maternalised”, “adapted” or any similar term.

(3) The labelling of an infant formula must not include—

- (a) any picture of an infant; or

(b) any other picture or text which may idealise the use of the product, but may include graphic representations for easy identification of the product or for illustrating methods of preparation.

- (4) The labelling of an infant formula may bear nutrition and health claims only when—
- (a) the claim is listed in the first column of Annex IV and is expressed in the terms set out there; and
 - (b) the condition specified in the second column of Annex IV in relation to the relevant claim made in the first column is satisfied.

(5) The labelling of an infant formula may bear particulars of the average quantity of nutrients mentioned in Annex III when such information is not covered by sub-paragraph (1)(c) expressed in numerical form, per 100 ml of the product ready for use.

Labelling of follow-on formula

17.—(1) Follow-on formula must not be sold unless the labelling bears—

- (a) a statement to the effect that—
 - (i) the product is suitable only for particular nutritional use by infants over the age of 6 months;
 - (ii) it should form only part of a diversified diet;
 - (iii) it is not to be used by infants as a substitute for breast milk during the first 6 months of life; and
 - (iv) the decision to begin complementary feeding, including any decision as to making an exception to the principle of not using follow-on formula before 6 months of age should be made only on the advice of independent persons having qualifications in medicine, nutrition or pharmacy, or other professionals responsible for maternal or child care, based on the individual infant's specific growth and development needs;
 - (b) the available energy value, expressed in kJ and kcal, and the content of proteins, carbohydrates and lipids, expressed in numerical form, per 100ml of the product ready for use;
 - (c) the average quantity of each mineral substance and of each vitamin mentioned in Annex II and, where applicable, of choline, inositol and carnitine, expressed in numerical form, per 100ml of the product ready for use;
 - (d) instructions for appropriate preparation, storage and disposal of the product and a warning against the health hazards of inappropriate preparation and storage.
- (2) The labelling of follow-on formula must—
- (a) be designed to provide the necessary information about the appropriate use of the product so as not to discourage breast feeding; and
 - (b) not contain the terms “humanised”, “maternalised”, “adapted” or any similar term.
- (3) The labelling of a follow-on formula may bear particulars of—
- (a) the average quantity of nutrients mentioned in Annex III when such information is not covered by sub-paragraph (1)(c) expressed in numerical form, per 100 ml of the product ready for use; and
 - (b) in addition to numerical information, information on vitamins and minerals included in Annex VII, expressed as a percentage of the reference values given in that Annex, per 100ml of the product ready for use.

Avoidance of the risk of confusion between infant formula and follow-on formula

18. Infant formula and follow-on formula must be labelled in such a way that it enables consumers to make a clear distinction between such products so as to avoid any risk of confusion between infant formula and follow-on formula.

Presentation (infant formula and follow-on formula)

19.—(1) The presentation of infant formula must comply with the provisions of paragraphs 16(1)(e), (2), (3) and (4) and 18.

(2) The presentation of follow-on formula must comply with the provisions of paragraphs 17(2) and 18.

(3) For the purposes of this paragraph, “presentation” includes the shape, appearance or packaging of the products concerned, the packaging materials used, the way in which they are arranged and the setting in which they are displayed.

Restrictions on advertising infant formula

20.—(1) No person may advertise infant formula—

(a) except—

(i) in a scientific publication; or

(ii) for the purposes of trade prior to the retail stage, in a publication of which the intended readership is other than the general public; and

(b) unless the advertisement complies with the provisions of paragraphs 16(1)(e), (2), (3) and (4), paragraph 18 and sub-paragraphs (2) and (3).

(2) Advertisements for infant formula must only contain information of a scientific and factual nature.

(3) Information in advertisements for infant formula must not imply or create a belief that bottle feeding is equivalent or superior to breast feeding.

Restrictions on advertising follow-on formula

21. No person may advertise follow-on formula where the advertisement contravenes or fails to comply with the provisions set out in paragraphs 17(2) and 18.

Restrictions on promotion of infant formula

22.—(1) No person may, at any place where any infant formula is sold by retail—

(a) advertise any infant formula;

(b) make any special display of an infant formula designed to promote sales;

(c) give away—

(i) any infant formula as a free sample; or

(ii) any coupon which may be used to purchase an infant formula at a discount;

(d) promote the sale of an infant formula by means of premiums, special sales, loss-leaders or tie-in sales; or

(e) undertake any other promotional activity to induce the sale of an infant formula.

(2) No manufacturer or distributor of any infant formula may provide for promotional purposes any infant formula free or at a reduced or discounted price, samples or any gift designed to promote the sale of an infant formula, to—

- (a) the general public;
 - (b) pregnant women;
 - (c) mothers; or
 - (d) members of the families of persons mentioned in paragraphs (b) and (c),
- either directly, or indirectly through the health care system or health workers.

Provision of informational and educational material dealing with the feeding of infants

23.—(1) No person may produce or publish any informational or educational material, whether written or audiovisual, dealing with the feeding of infants and intended to reach pregnant women and mothers of infants and young children, unless that material includes clear information on all the following points—

- (a) the benefits and superiority of breast feeding;
- (b) maternal nutrition;
- (c) the preparation for and the maintenance of breast feeding;
- (d) the possible negative effect on breast feeding of introducing partial bottle feeding;
- (e) the difficulty of reversing the decision not to breast feed; and
- (f) where needed, the proper use of an infant formula.

(2) When the material referred to in sub-paragraph (1) contains information about the use of an infant formula it must include information about—

- (a) the social and financial implications of its use;
- (b) the health hazards of inappropriate foods or feeding methods; and
- (c) the health hazards of improper use of infant formula.

(3) When the material referred to in sub-paragraph (1) contains information about the use of an infant formula it must not use any pictures which may idealise the use of infant formula.

(4) No manufacturer or distributor of an infant formula may make a donation of any informational or educational equipment or materials except in accordance with the following conditions—

- (a) the donation must be made following a request by the intended recipient;
- (b) the donation must be made with the written authority of the Welsh Ministers or in accordance with guidelines drawn up by the Welsh Ministers;
- (c) the equipment or materials must not be marked or labelled with the name of a proprietary brand of infant formula; and
- (d) the equipment or materials must be distributed only through the health care system.

Free or reduced rate infant formula

24. An institution or organisation which receives any infant formula free or at a reduced rate must—

- (a) if that infant formula is for use in the institution or organisation, only use it for infants who have to be fed on infant formula and only for as long as required by those infants; or
- (b) if that infant formula is for distribution outside the institution or organisation, only distribute it for infants who have to be fed on infant formula and only for as long as required by those infants.

Offences and enforcement

25.—(1) Any person who contravenes or fails to comply with any of the provisions contained in paragraphs 2, 3, 12, 20(1), 21, 22, 23 and 24, is guilty of an offence and is liable, on summary conviction, to a fine.

(2) Each food authority must enforce and execute this Schedule in its area.

Application of the improvement notice provisions of the Act

26.—(1) Section 10(1) and (2) of the Act (improvement notices) applies, with the modification (in the case of section 10(1)) specified in sub-paragraph (2), for the purposes of—

- (a) enabling an improvement notice to be served on a person requiring the person to secure compliance with any of the requirements specified in paragraph 2; and
- (b) making the failure to comply with a notice referred to in paragraph (a) an offence.

(2) For section 10(1) of the Act (improvement notices) substitute—

“(1) If an authorised officer of an enforcement authority has reasonable ground for suspecting that a person is failing to comply with paragraph 2 of Schedule 4 to the Infant Formula and Follow-on Formula (Wales) Regulations 2020, the authorised officer may, by a notice served on that person (in this Act referred to as an “improvement notice”)—

- (a) state the officer’s grounds for suspecting that the person is failing to comply or as the case may be, that the food does not comply with the relevant provision;
- (b) specify the matters which constitute the failure to so comply;
- (c) specify the measures which, in the officer’s opinion, the person must take in order to secure compliance; and
- (d) require the person to take those measures, or such measures that are at least equivalent to them, within such period as may be specified in the notice.”

(3) Section 32(1) to (8) of the Act (powers of entry) applies, with the modification (in the case of section 32(1)) specified in sub-paragraph (4), for the purposes of enabling an authorised officer of an enforcement authority—

- (a) to exercise a power of entry to ascertain whether food that does not comply with the requirements of paragraph 2 is, or has been sold; and
- (b) to exercise a power of entry to ascertain whether there is any evidence of any contravention of paragraph 2.

(4) For paragraphs (a) to (c) of section 32(1) (powers of entry) of the Act substitute—

- “(a) to enter any premises within the authority’s area for the purposes of ascertaining whether there has been any contravention of paragraph 2 of Schedule 4 to the Infant Formula and Follow-on Formula (Wales) Regulations 2020; and
- (b) to enter any business premises, whether within or outside the authority’s area, for the purpose of ascertaining whether there is on the premises any evidence of any contravention of that paragraph.”

(5) Section 35 of the Act (punishment of offences) applies, with the modification specified in sub-paragraph (6), for the purpose of specifying the punishment of an offence committed under paragraph 26(1)(b).

(6) In section 35 (punishment of offences) of the Act, after subsection (1) insert—

“(1A) A person guilty of an offence under section 10(2), as applied and modified by paragraph 26(1) and (2) of Schedule 4 to the Infant Formula and Follow-on Formula (Wales) Regulations 2020, is liable, on summary conviction, to a fine.”

(7) Section 37(1), (3), (5) and (6) of the Act (appeals) applies for the purpose of enabling a decision to serve a notice referred to in paragraph 26(1)(a) to be repealed, with the following modifications—

(a) for the heading substitute “Appeals”;

(b) for section 37(1) substitute—

“(1) Any person who is aggrieved by a decision of an authorised officer of an enforcement authority to serve an improvement notice under section 10(1) as applied and modified by paragraph 26(1) and (2) of Schedule 4 to the Infant Formula and Follow-on Formula (Wales) Regulations 2020, may apply to the magistrates’ court.”;

(c) for section 37(5) substitute—

“(5) The period within which such an appeal as is mentioned in sub-section (1) above may be brought must be, whichever ends the earlier—

(a) one month from the date on which notice of the decision was served on the person desiring to appeal; or

(b) the period specified in the improvement notice,

and in the case of such an appeal, the making of the complaint shall be deemed for the purposes of this subsection to be the bringing of the appeal.”;

(d) in section 37(6)—

(i) for “subsection (3) or (4)” substitute “subsection (1)”; and

(ii) in paragraph (a), omit “or to the sheriff”.

(8) Section 39 of the Act (appeals against improvement notices) applies, with the modification specified in sub-paragraph (9), for the purpose of dealing with appeals against a decision to serve a notice referred to in paragraph 26(1)(a).

(9) In section 39(3) (appeals against improvement notices), omit “for want of prosecution”.

Application of various sections of the Act

27. The following provisions of the Act apply for the purposes of this Schedule with the modification that any reference in those provisions to the Act or Part thereof are to be construed as a reference to this Schedule—

(a) section 3 (presumptions that food intended for human consumption);

(b) section 20 (offences due to fault of another person);

(c) section 21 (defence of due diligence), as it applies for the purpose of section 14 or 15;

(d) section 30(8) (which relates to documentary evidence);

(e) section 33(1) (obstruction etc. of officers);

(f) section 33(2), with the modification that the reference to “any such requirement as is mentioned in subsection (1)(b) above” is to be construed as a reference to any such requirement as is mentioned in section 33(1)(b) as applied by sub-paragraph (e);

(g) section 35(1) (punishment of offences), in so far as it relates to offences under section 33(1) as applied by sub-paragraph (e);

(h) section 35(2), in so far as it relates to offences under section 33(2) as applied by sub-paragraph (f);

(i) section 36 (offences by bodies corporate);

(j) section 36A (offences by Scottish partnerships); and

(k) section 44 (protection of officers acting in good faith).]

Changes to legislation:

There are currently no known outstanding effects for the The Infant Formula and Follow-on Formula (Wales) Regulations 2020, SCHEDULE 4.